

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Joseph Schlessinger et al.

Title: PYK2 BINDING PROTEINS

Appl. No.: 09/914,042

Appl. Filing Date: August 22, 2001

Examiner: Unassigned

Art Unit: Unassigned

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSECommissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Defective Response dated August 27, 2002 (copy enclosed), Applicants hereby submit the following:

- ☒ [ X ] Copy of PCT/IB/306 Forms to show Recording of a Change
- ☒ [ X ] Return copy of Notification of Defective Response
- ☒ [ X ] Check in the amount of \$32.00 for excess independent claims fees

The Notification of Defective Response further requests a copy of the Sequence Listing in computer readable form (CRF), a new paper copy and an amendment inserting the Sequence Listing into the specification. Applicant's representative, Jayme Huleatt, contacted Anne Corrigan from STIC to discuss the alleged errors in the Sequence Listing. As discussed with Ms. Corrigan and verified by her, the alleged errors were only found in the Sequence Listing that was loaded into and run from the STIC hard drive and these errors were not present on the CRF Sequence Listing and paper copy provided by applicant on March 18, 2002. Ms. Corrigan printed a paper copy from the CRF Sequence Listing and provided it to John Anderson, whose office

issued the Notification of Defective Response. Thus, a new CFR Sequence Listing does not have to be submitted as the Sequence Listing and accompanying CFR filed on March 18, 2002 satisfy the noted defects.

The Examiner is invited to contact the undersigned by telephone if any questions should arise.

Respectfully submitted,

Date

September 26, 2002

By

Jayme D. Hulcatt  
Reg. No. 34485

FOLEY & LARDNER

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22428

PATENT TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/914,042	Sima Lev	038602-1224

INTERNATIONAL APPLICATION NO.
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PCT/US00/04647

LA. FILING DATE	PRIORITY DATE
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02/18/2000

02/22/1999

Beth A Burrous  
 Foley & Lardner  
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 Washington, DC 20007-5475

CONFIRMATION NO. 8380

371 FORMALITIES LETTER



\*OC000000038686242\*

Date Mailed: 08/27/2002

### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - The International Application shows six inventors. The declaration only list two inventors. Also, the second inventor on the declaration is not listed on the international application. Please clarify.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Additionally the following defects have been observed:

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - The diskette does not comply. A corrected diskette is needed. See attached Raw Sequence Listing Error Report.
  - **APPLICANT MUST PROVIDE:**
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- Additional claim fees of **\$32** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$32** for a Large Entity:

- Total additional claim fee(s) for this application is **\$32**
  - **\$32** for 8 independent claims over 3.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9116

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/914,042	PCT/US00/04647	038602-1224